

**Amerex Brokers LLC**

1 Sugar Creek Center Blvd.
Suite 700
Sugar Land, TX 77478
281.340.5200
www.amerexenergy.com

January 16, 2020

VIA FEDEX

NHPUC 21JAN'20am11:11

New Hampshire Public Utilities Commission
Debra Howland, Executive Director
21 South Fruit Street, Suite 10
Concord, NH 03301-2429

RE: *In The Matter of the Renewal Application of Amerex Brokers LLC for Registration to Provide Natural Gas Aggregation Services to the Public Under New Hampshire Code of Administrative Rules 3006.02 (herein "Application").*

To whom it may concern:

Please find enclosed herein the Renewal Application of Amerex Brokers LLC ("Amerex") for registration as a Natural Gas Aggregator in New Hampshire. Amerex may combine the natural gas loads of a single customer with multiple meters or multiple locations. As such, we are seeking the renewal registration as a Natural Gas Aggregator in New Hampshire in accordance with Puc 3003.06.

In accordance with Puc 3003.06, we have included in this Application the applicable renewal registration fee of \$125.00 payable to the New Hampshire Public Utilities Commission. Also, enclosed herein is the Renewal Application of Amerex Brokers LLC in accordance with the form outlined in Puc 3006.02. Following the Application we have included Exhibit A evidencing Amerex's authorization to do business in New Hampshire from the Secretary of State in accordance with 3006.02(f) and Exhibit B containing a statement by Amerex affirming the accuracy and completeness of the information provided in the Application in accordance with 3006.02(n).

Amerex is a brokerage firm specializing in commodities such as electric power, natural gas and environmental commodities. The retail division of Amerex specializes in energy price risk consulting and energy procurement for commercial, governmental and industrial customers. It is Amerex's retail division which submits this Application.

Should the Commission require any additional information, documentation or clarification, please do not hesitate to contact me directly at your convenience.

Respectfully Submitted,

Amalia Berrios

VP and Sr. Counsel

BEFORE THE NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION

In the Matter of the Renewal Application of	§	
Amerex Brokers LLC for Registration	§	
as a Natural Gas Aggregator in New Hampshire	§	Docket No. DM 15-039
in Accordance with Puc 3006.02	§	

Puc 3006.02 Information Required for Initial and Renewal Registration of Aggregators. The registration application required by Puc 3003.05(a) and Puc 3003.06(b) shall include the following:

- (a) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state.

Response: Amerex Brokers LLC

- (b) The applicant's business address, telephone number, e-mail address and website address, as applicable.

Response: 1 Sugar Creek Center Blvd.
Suite 700
Sugar Land, Texas 77478
Phone: 281-340-5200
Email: aberrios@amerexenergy.com
Website: www.amerexenergyservices.com

- (c) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s) if anything other than an individual.

Response: Amerex Brokers LLC is a Delaware limited liability company. Amerex management is comprised of 3 Managing Directors: Mark Gaynor (Sr. Managing Director), Steve Marland (Managing Director); and John Bolton (Managing Director). Each Managing Director has the same address and telephone number as enumerated in Item 2 above. Their respective emails are as follows: mgaynor@amerexenergy.com; smarland@amerexenergy.com; and jbolton@amerexenergy.com

- (d) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available.

Response: John Bolton, Managing Director; is our customer service contact for our retail division at Amerex Brokers LLC. His email address is jbolton@amerexenergy.com. His telephone number is 281-340-5219. The toll free number available to Amerex customers is 866-802-0519.

- (e) The name, title, business address, telephone number, and email address of each individual responsible for responding to Commission inquiries regarding: **Response:**

Customer complaints: John Bolton, Managing Director, 1 Sugar Creek Center Blvd., Suite 700 Sugar Land, Texas 77478; Phone: 281-34-5219; jbolton@amerexenergy.com

Regulatory compliance matters: Amalia Berrios, VP an Sr. Counsel, 1 Sugar Creek Center Blvd., Suite 700, Sugar Land, Texas 77478; Phone: 281-340-5212; aberrios@amerexenergy.com

Commission assessment payments: Amalia Berrios, VP an Sr. Counsel, 1 Sugar Creek Center Blvd., Suite 700, Sugar Land, Texas 77478; Phone: 281-340-5212; aberrios@amerexenergy.com

- (f) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual.

Response: Please see the attached Exhibit A.

- (g) Evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire Secretary of State: **Response:** Not applicable.
- (h) A list of other states or jurisdictions in which applicant may conduct or currently conducts business relating to the aggregation of natural gas customers. **Response:** Texas
- (i) A statement as to whether the applicant or any of the applicant's principals: (1) has ever been convicted of any felony that has not been annulled by a court; (2) has, within the 10 years immediately prior to application, had any civil, criminal or regulatory sanction or penalties imposed against it, him or her pursuant to any state or federal consumer protection law or regulation; (3) has, within the years immediately prior to application, settled any civil, criminal, or regulatory complaint involving any state or federal consumer protection law or regulation; (4) is currently the subject of any pending civil, criminal or regulatory investigation or complaint involving any state of federal consumer protection law or regulations; or (5) has been denied authorization to provide competitive natural gas supply services or natural gas aggregation service in any other state or jurisdiction.

Response: Amerex Brokers LLC responds "No" to each of the aforementioned items.

- (j) If any affirmative answer is given to any item in (i) above, a detailed explanation of the occurrence and related circumstances. **Response:** Not applicable.
- (k) A list of CNGSs in New Hampshire through which the applicant intends to provide service.

Response: Amerex intends to provide service with competitive natural gas suppliers duly licensed with the New Hampshire Public Utilities Commission.

- (l) A statement that the applicant is not representing any supplier interest, or a listing of any supplier interest(s) the applicant intends to represent.

Response: Amerex is not representing and does not intend to represent any supplier interest.

- (m) The date upon which the applicant expects to commence marketing its services to customers in New Hampshire.

Response: Amerex is currently marketing to provide its services to customers in New Hampshire.

- (n) A statement certifying that the applicant has the authority to file the application on behalf of the aggregator and an attestation that its contents are truthful, accurate and complete.

Response: Please see the attached Exhibit B that contains a statement affirming the accuracy and completeness of the information provided herein.

- (o) The signature of the applicant or its representative.

Response: Please see the attached Exhibit B that contains a statement affirming the accuracy and completeness of the information provided herein.

EXHIBIT A

Search Business Names

[← Back to Home \(/online/BusinessInquire\)](#)

Search Result

Business Name	Business ID	Homestate Name	Previous Name	Business Type	Principal Office Address	Registered Agent Name	Status
Amerex Brokers LLC (/online/BusinessInquire/BusinessInformation? businessID=508668)	687527	Amerex Brokers LLC		Foreign Limited Liability Company	1 Sugar Creek Center Boulevard, Suite 700, Sugar Land, TX, 77478, USA	CORPORATION SERVICE COMPANY	Good Standing

Page 1 of 1, records 1 to 1 of 1

[Back](#)NH Department of State, 107 North Main St. Room 204, Concord, NH 03301 -- [Contact Us \(/online/Home/ContactUS\)](#)

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State of New Hampshire
Department of State
Corporation Division

603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at corporate@sos.state.nh.us. Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State
Corporation Division

Business ID#: 687527

State of New Hampshire

Filed
Date Filed: 02/25/2013
Business ID: 687527
William M. Gardner
Secretary of State

Filing fee: \$ 50.00
Fee for Form SRA: \$ 50.00
Total fees: \$100.00
Use black print or type.

Form FLLC-1
RSA 304-C:175

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is Amerex Brokers LLC

SECOND: The name which it proposes to register and do business in New Hampshire is Amerex Brokers LLC

THIRD: It is formed under the laws of Delaware

FOURTH: The date of its formation is August 22, 2006

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is Amerex Brokers LLC shall conduct in NH business for any lawful purpose; perform energy procurement service.

SIXTH: The name of its registered agent in New Hampshire is CT Corporation System

and the street address, town/city (including zip code and post office box, if any) of its registered office is (agent's business address in New Hampshire) 9 Capitol Street, Concord, NH 03301

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

State of New Hampshire
Form FLLC 1 - Application for Foreign Registration FLLC 4 Page(s)



T1305631045

APPLICATION FOR REGISTRATION AS A
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1
(Cont.)

*Signature: Paul Puchot
Print or type name: Paul Puchot
Title: Manager
Date signed: 2-20-2013

Complete address of person signing: 1 Sugar Creek Center Blvd., Suite 70
Sugar Land, Texas
77478

To receive your ANNUAL REPORT REMINDER NOTICE by email, please enter your email address here:
cynthia.khan@gfigroup.com

* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, DATED AND SIGNED ORIGINAL AND FORM SRA to: Corporation Division, Department of State, 107 North Main Street, Concord NH 03301-4989. Physical location: 25 Capitol Street, 3rd Floor, Concord, NH 03301.

**Form SRA – Addendum to Business Organization and Registration Forms
Statement of Compliance with New Hampshire Securities Laws**

Part I – Business Identification and Contact Information

Business Name: Amerex Brokers LLC
Business Address (include city, state, zip): 1 Sugar Creek Center Blvd., Suite 100, Sugar Land, Texas 77478
Telephone Number: 281 340 5272 E-mail: ppuchot@amerexenergy.com
Contact Person: Amalia Berrios
Contact Person Address (if different): Same

Part II – Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected. (PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C):

1. ☐ Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:
A) This business has 10 or fewer owners; and
B) Advertising relating to the sale of ownership interests has not been circulated; and
C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.
2. ☐ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - _____
3. ☐ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - _____
4. ☒ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

Part III – Check ONE of the following items in Part III:

1. ☒ This business is not being formed in New Hampshire.
2. ☐ This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

Part IV – Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print): <u>Paul Puchot</u>	Signature: <u>Paul Puchot</u>
	Date signed: <u>2-20-2013</u>
Name (print): _____	Signature: _____
	Date signed: _____
Name (print): _____	Signature: _____
	Date signed: _____

EXHIBIT B

VERIFICATION

STATE OF TEXAS §

COUNTY OF FORT BEND §

Amalia Berrios, being duly sworn, deposes that she is VP and Sr. Counsel at Amerex Brokers LLC ;l that she is authorized to file the application on behalf of Amerex Brokers LLC; that she has read the foregoing Cover Letter and Application of Amerex Brokers LLC for Renewal as a Natural Gas Aggregator in New Hampshire, and all the attachments accompanying and referred to in the Application; and that the statements contained in the Cover Letter, Application and the Exhibits are true, correct and complete to the best of her knowledge, information and belief.

Amerex Brokers LLC

By:



Amalia Berrios,
VP and Sr. Counsel

Notarization:

Subscribed and sworn to before me on this 16th day of January, 2020.



Notary Public

Stamp of Notary:

